GLENN COUNTY GRIEVANCE FORM – GENERAL UNIT

EMPLOYEE NAME	DEPARTMENT
REFERENCE: Grievance Procedure Article 7.02. Spec Attach additional information if more space is necessar Representative.	cify dates, facts, nature of complaint and remedy requested. ry. For assistance with this form please contact your Union
An employee who believes he/she has cause for grievance sh following the incident, or after the employee would be reasona the matter. If a meeting is necessary, it shall be scheduled by	R Presentation of Grievance to Immediate Supervisor nall give notice to the immediate supervisor, within ten (10) days ably expected to have knowledge of the incident, in an attempt to settle the supervisor within three (3) days. If a meeting is scheduled, the of the meeting. Otherwise, the response is due within the seven (7) e.
GRIEVANCE:	
REMEDY REQUESTED:	
EMPLOYEE SIGNATURE	DATE
EMPLOYEE REPRESENTATIVE:	
₹ SUPERVISO	ORY USE BELOW →
DATE / TIME	
Date(s) Supervisor scheduled meeting(s):	
SUPERVISOR'S RESPONSE & REMEDY OR CO	ORRECTION OFFERED:
IMMEDIATE SUPERVISOR SIGNATURE	DATE OF STEP I RESPONSE

STEP II – DEPARTMENT HEAD

If the grievance is not settled to the employee's satisfaction at Step I, the employee may file a written appeal with the Department Head to whom the aggrieved employee's immediate supervisor reports no later than 10 days after the immediate supervisor has responded to the grievance at Step I. Within seven (7) days of receipt of the appeal, a hearing shall be held. If requested by either party, the aggrieved employee, Union Representative, and the employee's immediate supervisor may attend the second step hearing.

Date Appeal delivered to Department Head:		
Date(s) Department Head scheduled meeting(s):		
Date of Department Head Hearing:		
DEPARTMENT HEAD STATEMENT OF POSITION AND REMEDY OR CORRECTION OFFERED:		
DEPARTMENT HEAD SIGNATURE	DATE OF STEP II RESPONSE	
STEP III – PERSONNEL DIRECTOR If the grievance is not settled satisfactorily at Step II, the employed Personnel Director in writing within ten (10) calendar days from re receipt of an appeal from Step II, the County Personnel Director s place of the hearing shall be by mutual agreement of the County Personnel Director s place of the hearing shall be by mutual agreement of the County Personnel Director s place of the hearing shall be by mutual agreement of the County Personnel Director s place of the Union may request that other employees be called as witness.	receipt of the Step II decision. Within ten (10) days of hall cause a hearing to be held. The date, time and Personnel Director and the employee and Union or representatives to participate in the hearing, and es without loss of pay.	
Date Grievance filed with Personnel Director:		
Date of scheduled Hearing:		
PERSONNEL DIRECTOR'S DISPOSITION:		
PERSONNEL DIRECTOR SIGNATURE	DATE OF STEP III RESPONSE	
STEP IV – REQUEST FOR ARBITRATION OF GRIEVANCE The request for the appeal to arbitration must be given in writing to the County by the employee or employee representative within fifteen (15) work days from the date of receipt of the third step decision. The County Personnel Director and the Union representative will select an arbitrator by mutual agreement, if possible.		
REQUEST FOR ARBITRATION:		

GCPER 17 2011

DATE REQUEST FOR ARBITRATION FILED: __

From the General Unit Memorandum of Understanding

ARTICLE 7.02 - GRIEVANCE AND APPEALS PROCEDURES:

- A. <u>PURPOSE</u>. The purpose of the procedure established by way of this agreement is to provide a systematic means to:
 - 1. Keep channels of communications open between all persons employed by the County of Glenn;
 - 2. Resolve problems and differences between employees and management through discussions; and
 - 3. Settle unresolved employee disputes and complaints in a reasonable manner of fair hearings.
- B. <u>SCOPE</u>. The procedure established herein is limited in application to complaints of unfair or improper treatment in County employment and to matters specifically involving the interpretation or application of this agreement, County rules, policies or ordinances.

Specifically excluded from this procedure are matters which in their solution, require the amendment or change of Board of Supervisors' policies as set forth in the Glenn County Ordinance Code and resolutions. Also excluded from this procedure are the following:

- 1. Matters within the Employee Relations Policy adopted by resolution of the Board of Supervisors.
- 2. County Rights as specified in Article 1.05 of this agreement.
- 3. Appeals from disciplinary action as defined in accordance with Article 7.01 of this agreement.
- C. <u>RIGHT OF PARTICIPATION</u>. Any employee or group of employees shall have the right to present grievances under these procedures without fear of reprisal.
- D. <u>REPRESENTATION</u>. Any employee or employees shall be given reasonable time off without loss of pay to participate in the steps of this procedure.

E. GRIEVANCE PROCESS.

- 1. The following is a series of steps, each a succeeding higher level of review. Every effort is to be made by all who participate in the process to find acceptable solutions to the problems involved at the lowest and earliest possible step in the process.
- Procedures to be observed:
 - (a) The word "employee" as used in the various steps shall mean the employee and his/her representative. The grievant shall be present at all steps in the process.
 - (b) Any written forms required of the employee at any step shall be provided by the Personnel Director. The contents of the form shall be mutually agreed upon by the County and the employee Union.
 - (c) The word "day" as used in the Steps of the Grievance procedure shall mean "working days".
 - (d) Should a grievant fail at any time to proceed to the next step, within the established time limits, the grievance shall be terminated.
 - (e) Time limits in this procedure may be waived or extended by mutual written consent of both parties.

<u>STEP I</u> - <u>INFORMAL MEETING WITH SUPERVISOR</u>

- 1. An employee who believes he/she has cause for grievance shall give notice to the immediate supervisor, within ten (10) days following the incident, or after the employee would be reasonably expected to have knowledge of the incident, in an attempt to settle the matter. If a meeting is necessary, it shall be scheduled by the supervisor within three (3) days. If a meeting is scheduled, the supervisor has up to seven (7) days to respond after the date of the meeting. Otherwise, the response is due within the seven (7) day period after the supervisor is made aware of the grievance.
- 2. If, after discussions with the immediate supervisor, the employee believes that the grievance has not been satisfactorily adjusted, the grievance shall be reduced to writing and the employee may then proceed to Step II.

STEP II - DEPARTMENT HEAD

- 1. If the grievance is not settled to the employee's satisfaction at Step I, the employee may file a written appeal with the Department Head to whom the aggrieved employee's immediate supervisor reports no later than 10 days after the immediate supervisor has responded to the grievance at Step I. Within seven (7) days of receipt of the appeal, a hearing shall be held. If requested by either party, the aggrieved employee, Union Representative, and the employee's immediate supervisor may attend the second step hearing.
- 2. The grievance form shall be filled out, signed by the aggrieved employee and his/her representative with the date and time of presentation affixed thereto. The Department Head shall sign the form as received with the time affixed thereto.
- 3. The grievance form shall include the following:
 - (a) A statement of the grievance clearly indicating the question raised by the grievance and the section(s) of the agreement that is violated; and
 - (b) The remedy or correction requested.
- 4. The Department Head shall give a written answer to the grievance within seven (7) days from the date of the hearing. This written answer shall include a complete statement of the Department Head's position and the facts upon which it is based.

The Department Head's answer shall include the following:

- (a) A complete statement of the Department Head's position and the facts upon which it is based, if available; and
- (b) The remedy or correction which has been offered, if any.
- 5. If the grievance is not settled satisfactorily at Step II, the employee may appeal the grievance to the County Personnel Director in writing within ten (10) days from receipt of the Step II decision.

STEP III - PERSONNEL DIRECTOR

1. Within ten (10) days of receipt of an appeal from Step II, the County Personnel Director shall cause a hearing to be held. The date, time and place of the hearing shall be by mutual agreement of the County Personnel Director and the employee and Union representative, if any. The County or the Union may request other representatives to participate in the hearing, and the Union may request that other employees be called as witnesses without loss of pay.

- 2. It is the intent of the parties to arrive at an equitable settlement of all third step grievances. Therefore, all evidence presented at the third step hearing shall reflect the total effort of the parties.
- 3. A written disposition of the grievance shall be given by the County Personnel Director to the employee and representatives within ten (10) days following the hearing.
- 4. If the third step answer is not satisfactory to the employee, it may be appealed to arbitration.

STEP IV - ARBITRATION

- 1. The request for the appeal to arbitration must be given in writing to the County by the employee or employee representative within fifteen (15) days from the date of receipt of the third step decision. The County Personnel Director and the Union representative will select an arbitrator by mutual agreement, if possible.
- 2. In the event there is no agreement on the selection of an arbitrator, the parties will make a joint request for a panel of five (5) qualified arbitrators from the American Arbitration Union or the State Mediation & Conciliation Service. After receipt of the panel of arbitrators, each party will strike one arbitrator at a time, and the remaining person on the list shall be accepted as the arbitrator.
- 3. The arbitrator shall have no power to alter, amend, change, add to, or subtract from any of the terms and conditions of this agreement, nor any rules, policies or procedures. The decision of the arbitrator shall be based solely upon the evidence and arguments presented by the respective parties in the presence of each other.
 - The arbitrator shall render a decision within 30 days after the conclusion of the hearing. The decision of the arbitrator shall be final and binding on both parties except that an award of the Arbitrator involving reinstatement or back pay or other monetary considerations in excess of the equivalent of two months gross salary may be appealed to the Board of Supervisors.
- 4. All fees and expenses of the arbitration shall be shared equally by the parties. Each party shall bear the expense of the presentation of its own case.
- 5. A court reporter shall not be required for the Arbitration proceedings, but may be requested by the County, the Union, or the Arbitrator.
 - (a) If the services of a court reporter are requested by the Arbitrator, the costs shall be borne equally by the County and the Union
 - (b) If the services of the court reporter are requested by the County, the costs shall be borne by the County.
 - (c) If the services of the court reporter are requested by the Union, the costs shall be borne by the Union.

GENERAL

- 1. If a dispute arises as to whether or not the grievance meets the definition of a grievance as defined in Section B of this procedure, that issue shall be submitted to an arbitrator who will decide the issue of arbitrability prior to the matter being arbitrated.
- 2. The Union shall have standing to grieve beginning at Step III of the formal grievance procedure as follows:
 - (a) On all matters relating to Union rights or prerogatives or on matters relating to the Unions business relationship with the County; or
 - (b) When the Union determines that there is a substantial noncompliance with a grievable term or condition of employment, where no specific employee is directly affected by an interpretation or application of the County affecting otherwise grievable terms and conditions of employment.